

10

Pending Complaints**A. Less Than 30 Days**

2015 - 002	Opened: 02/04/2015	Answer Not Received
2015 - 001	Opened: 01/21/2015	Answer Not Received

B. 30 - 59 Days

2014 - 042	Opened: 12/31/2014	Hearing to be Set
2014 - 041	Opened: 12/23/2014	Hearing to be Set

C. 60 - 89 Days

2014 - 040	Opened: 11/21/2014	Investigation
2014 - 039	Opened: 11/21/2014	Investigation
2014 - 038	Opened: 11/19/2014	Investigation

D. 90 - 119 Days

2014 - 037	Opened: 11/04/2014	Investigation
2014 - 036	Opened: 10/29/2014	Investigation
2014 - 035	Opened: 10/20/2014	Pending Consent Agreement

E. 120 Days or More

2014 - 034	Opened: 10/09/2014	Investigation
2014 - 033	Opened: 09/30/2014	Hearing to be Set
2014 - 032	Opened: 09/22/2014	Investigation
2014 - 031	Opened: 09/17/2014	Hearing to be Set
2014 - 030	Opened: 09/04/2014	Investigation
2014 - 029	Opened: 08/14/2014	Investigation
2014 - 028	Opened: 08/11/2014	Investigation
2014 - 027	Opened: 08/11/2014	Investigation
2014 - 025	Opened: 07/21/2014	Investigation
2014 - 021	Opened: 05/29/2014	Holding in Abeyance
2014 - 024	Opened: 05/09/2014	Investigation
2014 - 019	Opened: 05/05/2014	Pending Consent Agreement
2014 - 020	Opened: 04/29/2014	Investigation
2014 - 001	Opened: 01/06/2014	Holding in Abeyance
2013 - 034	Opened: 11/22/2013	Holding in Abeyance
2013 - 024	Opened: 07/12/2013	Not Currently Renewed

Investigative Summary Comparison

	<u>February 2014</u>	<u>February 2015</u>
Awaiting Amendment	0	0
Answer Not Received	8	2
Under Investigation	12	14
Held in Abeyance	1	3
Hearing Scheduled	0	0
Hearing To Be Set	0	4
Hearing Continued	1	0
Pending Consent Agreement	0	2
Not Renewed	<u>0</u>	<u>1</u>
Total Pending	22	26

Complaints filed since Jan. 1	(2014) 6	(2015) 2
Public Filed	6	0
Commission Filed	0	2

LICENSEES PRESENTLY UNDER DISCIPLINARY ORDER

<u>NAME</u>	<u>TYPE OF LICENSE</u>	<u>ACTION TAKEN/REINSTATEMENT DATE/EDUCATION</u>
Geraldine B. Allen (2014-013)	Broker	Stipulation and Consent Order entered June 25, 2014. License was suspended for a period of three (3) years all stayed and served on probation commenced on June 25, 2014 and will continue through June 25, 2017 ; plus pay a civil fine of \$1,500.00 due July 25, 2014, plus complete nine (9) hours of additional continuing education, three (3) hours each in the areas of contracts, ethics and license law by September 23, 2014. (Civil fine received) (Education completed)
Adam Briley (2014-003)	Salesperson	Stipulation and Consent Order entered November 25, 2014. License was suspended for a period of three (3) years all stayed and served on probation commenced on December 25, 2014 and will continue through December 25, 2017 ; plus pay a civil fine of \$1,500.00 due December 25, 2014; plus pay hearing cost in the amount of \$220.00 by December 25, 2014; plus complete three (3) hours of additional continuing education, in the area of disclosures by May 25, 2015 . (Civil fine received) (Hearing fee received)
George N. Herrington (2014-008)	Salesperson	Stipulation and Consent Order entered March 20, 2014. License suspended for a period of three (3) years with the first forty five (45) days served on suspension and the remainder of the three (3) year period stayed and served on probation; plus pay a civil fine of \$1,500.00 by April 18, 2014; plus report any future alcohol or drug related charge or conviction, to the Director of the Commission within seven (7) days of the charge or conviction; plus submit to a Criminal Background Check on or about January 1, 2015, January 1, 2016 and January 1, 2017 , by having his finger prints captured by the Nebraska State Patrol; and submit the resulting criminal background reports to the Commission office no later than February 28 th of each year. Suspension commenced on March 24, 2014, and continues through May 8, 2014. Probation commences on May 8, 2014, and continues through March 24, 2017 . (Civil fine received) (Suspension completed)
Craig Matthew Jungjohann (2013-021)	Broker	Stipulation and Consent Order entered August 15, 2013. License suspended for a period of five (5) years with the entire five (5) period stayed and served on probation, commenced on August 15, 2013 and will continue through August 15, 2018 ; plus a civil fine of \$2,500.00 to be paid by September 14, 2013; plus complete nine (9) hours of additional continuing education three (3) each in the areas of ethics, license law and trust accounts and submit proof of completion to the Commission office by December 13, 2013; plus Jungjohann will be subject to additional random trust account examinations during the five (5) year suspension/probationary period. (Civil fine received) (Education completed)
William Robert Milczski (2013-013)	Salesperson	Stipulation and Consent Order entered June 20, 2013. License suspended for three (3) years with the first ninety (90) days served on suspension and the remainder stayed and served on probation. Suspension commenced on July 5, 2013, and continues through October 3, 2013. Milczski needs to report any future alcohol or drug related charge or conviction, or any violation of the Court's Order of February 11, 2013, to the Director of the Commission and to his designated broker within seven (7) days of the charge, conviction, or violation; plus submit to a Criminal Background Check on or about January 1, 2014, January 1, 2015 and January 1, 2016 , by having his finger prints captured by the Nebraska State Patrol; plus submit the resulting criminal background report to the Commission office no later than February 28 th of each year; and any future alcohol or drug related conviction, any violation of the Court's Order of February 11,

William Robert Milczski
(continued)

2013, or any violation of the laws administered by the Real Estate Commission will result in a Show Cause Hearing against him. Suspension commenced on July 5, 2013, and continues through October 3, 2013. Probation commences on October 4, 2013, and continues through **July 5, 2016**; plus pay a civil fine in the amount of \$1,500.00, by August 19, 2013. (Civil fine received) (Suspension completed) (2014 Background check received)

Amy M. Mosser
(2013-006)

Salesperson

Stipulation and Consent Order entered August 23, 2013. License suspended for two (2) years all served and stayed on probation. Probation commenced on August 23, 2013 and continues through **August 23, 2015**; plus complete and additional six (6) hours of continuing education to include three (3) hours each in the areas of Disclosure and Ethics to be completed by February 19, 2014; plus pay a civil fine in the amount of \$2,500.00 by September 22, 2013. (Civil fine received) (Education completed)

Conway T. Perry
(2014-014)

Salesperson

Hearing held August 21, 2014. License suspended until the completion of the supervised release under the United States Probation Office. At that time he will need to apply to the Commission requesting termination of the suspension of his license. Upon receipt of the application letter the Commission shall schedule a Special Appearance, at this appearance the licensee will need to present certified documentation that they have completed all terms and conditions of the supervised release and provide any additional information the Commission requires, including but not limited to a current criminal background report and evidence of the current status of restitution payments in the bank fraud matter; plus pay hearing cost in the amount of \$160.00, by October 5, 2014. (Hearing fee received)

Leslie Sue Petersen
(2012-003)

Salesperson

Stipulation and Consent Order entered May 2, 2012. License suspended for four (4) years with the first sixty (60) days served on suspension and the remainder stayed and served on probation. Suspension commenced on May 29, 2012, and continues through July 28, 2012. Probation commences on July 29, 2012, and continues through **May 28, 2016**; plus complete an additional six (6) hours of continuing education to include three (3) hours each in the areas of Disclosures and Ethics to be completed by August 31, 2012. (Education completed) (Suspension completed)

Kathryn Pocras
(2014-006)

Stipulation and Consent Order entered January 28, 2015. License suspended for six (6) months all stayed and served on probation. Probation commenced on **February 27, 2015**, and continues through **August 27, 2015**; plus pay a civil fine in the amount of \$1,250.00, by **February 27, 2015**.

Lisa Renee Richardson
(2014-017)

Salesperson

Stipulation and Consent Order entered November 25, 2014. License suspended for one (1) year with the first fifteen (15) days served on suspension, and the remainder stayed and served on probation. Suspension commenced on December 10, 2014, and continues through December 25, 2014. Probation commences on December 26, 2014 and continues through **December 26, 2015**; plus pay a civil fine of \$1,000.00 due December 25, 2014; plus complete an additional three (3) hours of continuing education in one of the following courses: #0748R Professionalism Required: High Standards of Professional Conduct, #0349 Risk Management for Real Estate Professionals, or #0497R Ethics & Professional Practice, to be completed by **May 25, 2015**. (Civil Fine) (Suspension completed)

Thomas Eugene Sunderman (2014-015)	Broker	Stipulation and Consent Order entered August 21, 2014. License was suspended for a period of two (2) years all stayed and served on probation commenced on August 21, 2014 and will continue through August 21, 2016 ; plus pay a civil fine of \$1,500.00 due September 20, 2014, plus complete six (6) hours of additional continuing education, three (3) hours each in the areas of agency and contracts by February 17, 2015. (Civil fine received) (Education completed)
William Glenn Swanson (2013-009)	Salesperson	Stipulation and Consent Order entered June 16, 2013. License suspended for a period of three (3) years with the first ninety (90) days served on suspension and the remainder of the three (3) year period stayed and served on probation; plus pay a civil fine of \$1,500.00 by July 16, 2013; plus pay a civil fine of \$1,500.00 by July 16, 2013; plus report any future alcohol or drug related charge or conviction to the Director of the Commission and to his designated broker within seven (7) days of the charge or conviction; plus submit to a criminal background check on or about January 1, 2014, January 1, 2015, and January 1, 2016 , by having his finger prints captured by the Nebraska State Patrol; plus submit the resulting final report of such Criminal Background Check to the Commission office no later than February 28th of each year; plus attend regular meetings of Alcoholic Anonymous ("AA") or a similar substance abuse program which provides group therapy or substance abuse counseling during the three (3) year suspension/probation period and provide proof of such attendance to the Commission office on a monthly basis, beginning August 5, 2013; plus obtain an "AA" sponsor or counselor for such substance abuse program and provide proof to the commission office of such sponsorship or counselor; and any future alcohol or drug related charge or conviction will result in a Show Cause Hearing against him. Suspension commenced on July 15, 2013, and continues through October 13, 2013. Probation commences on October 14, 2013, and continues through July 15, 2016 . (Civil fine received) (Suspension Completed) (2014 Background check received)
Adam Tyler Vogt (2014-012)	Broker	Stipulation and Consent Order entered June 25, 2014. License suspended for three (3) years with the ninety (90) days served on suspension and the remainder stayed and served on probation; plus pay a civil fine of \$1,000.00 by July 25, 2014; plus report any future alcohol or drug related charge or conviction, to his broker and the Director of the Commission within seven (7) days of the charge or conviction; plus submit to a Criminal Background Check on or about January 1, 2015, January 1, 2016 and January 1, 2017 , by having his finger prints captured by the Nebraska State Patrol; and submit the resulting criminal background reports to the Commission office no later than February 28 th of each year, plus attend regular meetings of Alcoholic Anonymous ("AA") or a similar substance abuse program which provides group therapy or substance abuse counseling during the three (3) year suspension/probation period and provide proof of such attendance to the Commission office on a monthly basis; plus obtain an "AA" sponsor or counselor for such substance abuse program and provide proof to the commission office of such sponsorship or counselor; and any future alcohol or drug related charge or conviction will result in a Show Cause Hearing against him. Suspension began June 25, 2014 and continues through September 23, 2014. Probation begins September 24, 2014 and continues through June 25, 2017 . (Civil fine received) (Suspension completed)